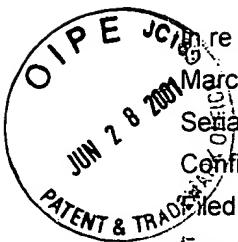


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Re Application of
Marco M. HURTADO et al.
Serial No. 09/711,431
Confirmation No. (not yet assigned)
Filed: November 13, 2000

: Atty. Docket: SOM920000014US1
: Group Art Unit: 2131

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Assistant Commissioner for Patents
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SIR:

The attached Form PTO-1449 provides a listing of information which may be relevant to the subject application. Copies of the following cited references were filed in the parent application serial no. 09/376,102 on the following dates: AA1-AG5 - filed September 7, 1999; AG6 - filed October 11, 1999; AG7-AG8 - filed on June 30, 2000; and AG9-AH2 - filed on January 3, 2001. Copies of references AH3-AH4 are attached. This IDS is not intended as a representation that better art is not available, nor that the information provided is prior art.

This IDS is submitted under:

- ☒ XX 37 CFR 1.97(b) - No Fee.
☐ 37 CFR 1.97(c) - No Fee, with Certification.
☐ 37 CFR 1.97(c) - Fee.
☐ 37 CFR 1.97(d) - Fee, Certification & Petition.

The Commissioner is authorized to charge any required fees under 37 CFR 1.17(p) and (i) (1) to Deposit Account No. 09-0459.

Respectfully submitted,

Date:

6/26/01

By:

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6/26/01



Form PTO-1449	U.S. Dept. of Commerce Patent & Trademark Office	Serial No. 09/711,431
List of Documents Cited by Applicant (Use several sheets if necessary)	Applicant: Marco M. HURTADO, et al.	
	Filing Date: November 13, 2000	Group No.: 2131

U.S. PATENT DOCUMENTS

Ex'r's In'l		Document Number	Date	Name	Class	Sub- class	Filing Date, if applicable
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	AA2	4,218,582	August 19, 1980	Hellman, et al.			
	AA3	4,405,829	September 20, 1983	Rivest, et al.			
	AA4	4,424,414	January 3, 1984	Hellman, et al.			
	AA5	4,995,082	February 19, 1991	Schnorr			
	AA6	5,347,580	September 13, 1994	Molva, et al.			
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	AA4	5,509,071	April 16, 1996	Petrie, Jr., et al.			
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	AB3	5,606,617	February 25, 1997	Brands			
	AB4	5,214,702	May 25, 1993	Fischer			
	AB5	4,868,877	September 19, 1989	Fischer			
	AB8	5,005,200	April 2, 1991	Fischer			
	AB7	5,371,794	December 6, 1994	Diffie, et al.			
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	AC4	5,315,658	May 24, 1994	Micali			
	AC5	5,666,420	September 9, 1997	Micali			

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Form PTO-1449	U.S. Dept. of Commerce Patent & Trademark Office List of Documents Cited by Applicant (Use several sheets if necessary)	Atty. Docket: SOM920000017US1 Applicant: Richard L. SPAGNA, et al. Filing Date: November 13, 2000	Serial No. 09/711,596 Group No.: 2161
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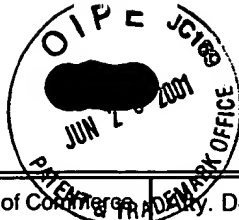
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	AC8	5,537,475	July 16, 1996	Micali			
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	AD1	4,926,479	May 15, 1990	Goldwasser, et al.			
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	AE9	4,825,306	April 25, 1989	Robers			
	AF1	4,868,687	September 19, 1989	Penn, et al.			

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List of Documents Cited by Applicant (Use several sheets if necessary)		Applicant: Marco M. HURTADO, et al.	
		Filing Date: November 13, 2000	Group: 2131

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	AF2	5,130,792	July 14, 1992	Tindell, et al.			
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	AG2	J. Linn, "Privacy Enhancement for Internet Electronic Mail: Part I: Message Encryption and Authentication Procedures," RFC 1421, February, 1993, pgs. 1-37.
	AG3	S. Kent, "Privacy Enhancement for Internet Electronic Mail: Part II: Certificate-Based Key Management," RFC 1422, February, 1993, pgs. 1-28.
	AG4	D. Balenson, "Privacy Enhancement for Internet Electronic Mail: Part III: Algorithms, Modes, and Identifiers," RFC 1423, February, 1993, pgs. 1-13.
	AG5	B. Kaliski, "Privacy Enhancement for Internet Electronic Mail: Part IV: Key Certification and Related Services," RFC 1424, February, 1993, pgs. 1-8.

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Atty. Docket: SOM920000014US1

Serial No. 09/711,431

List of Documents

Applicant: Marco M. HURTADO, et al.

Cited by Applicant

Filing Date: November 13, 2000

Group: 2131

(Use several sheets if necessary)

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Ex'r's In'l		Document Number	Date	Name	Class	Sub- class	Filing Dat , if applicable
	AG6	5,892,900	April 6, 1999	Ginter, et al.			

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	AG7	WO 97/43717	November 20, 1997	PCT			
	AG8	WO 98/13970	April 2, 1998	PCT			
	AG9	EP0704785A2	April 3, 1996	Europe			
	AH1	WO 96/41445	December 19, 1996	PCT			
	AH2	WO 98/39878	September 11, 1998	PCT			

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	AH3	Kaplan, Marc A., "IBM Cryptolopes™, SuperDistribution and Digital Rights Management, copyrighted by IBM Corporation, December 30, 1996 (version 1.3.0).
	AH4	Benton, Peter M., "Packaging Information for Superdistribution," IBM Research Magazine, 1996 Issue.

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